



Asset management and investment funds

Supporting the sector



“great regulatory understanding”

Chambers Guide to the
Legal Profession

*“incredibly
commercially minded”*

The Legal 500

What we can do for you

Our integrated asset management team provides a seamless service covering the full range of legal and regulatory issues that your business may face.

We work with a wide range of clients in the asset management sector including: stand-alone fund managers, large financial banking groups, boutique investment managers, distributors, platforms, financial advisers and key service providers to the sector such as custodians and administrators. Our clients also include investors such as pension funds, financial institutions, fund of funds, charities and family offices.

Fund structuring, management and distribution

We advise on the establishment and structuring of a full range of investment funds and associated financial structures, including:

- UCITS and other regulated funds
- investment trusts and other closed ended vehicles
- property funds and co-investment arrangements
- pension funds
- alternative investment structures

We also advise on all of the contractual relationships associated with fund structuring including investment management, brokerage, custody, administration and distribution arrangements.

Segregated portfolio business and wrappers

In addition to the structuring of separate investment vehicles we can advise you:

- on the segregated portfolio services you may provide to institutional and retail clients and the production of standard or specialist client documentation including advisory, investment management and custody agreements; and
- on the design, development and establishment of new investment services and products, including investment platforms and tax “wrappers” such as SIPPs, ISAs, bonds and EIS funds.

Transactions

We have in-depth experience of transactions in the asset management sector and can assist with a full range of corporate transactions, including:

- mergers and acquisitions, restructurings and joint ventures
- fund raisings and flotations
- private equity transactions
- outsourcing projects

We are able to advise on and manage the complex applications to regulators often involved with transactions in the sector.



“excellent hands on partners”

Chambers Guide to the Legal Profession

“The elite firm outside London.”

The Lawyer



Regulatory compliance

We understand the issues that arise from the often competing demands of meeting your commercial objectives whilst ensuring your business complies with applicable regulatory obligations.

- We advise on all aspects of compliance with applicable regulatory requirements including the Financial Services and Markets Act 2000, related legislation and the FSA Handbook.
- We assist with and manage FSA applications and other regulatory processes and procedures.

Other legal work in the sector

We deal with the legal and regulatory issues involved in other aspects of asset management business such as finance arrangements, derivatives, employee incentivisation, tax, data protection, regulatory enforcement and disputes.

Who we are

Burges Salmon is a leading UK law firm.

Mahrie Webb and other specialist partners lead our team of lawyers with in-depth knowledge and experience of the asset management sector. A number of the team have spent time in-house at the regulator and financial institutions such as Nationwide Building Society, The Royal Bank of Scotland, BNP Paribas and Goldman Sachs. We understand and have valuable practical experience of the issues affecting your business.

Why we are different

- We carry out technically complex work
- We give straightforward advice
- We deliver our advice through competitive and flexible fee structures
- We allow you to focus on running your business.

Who to contact

If you would like to speak to us or would like details of our recent experience in the sector please contact:



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A list of members, all of which are solicitors, may be inspected at our registered office: One Glass Wharf, Bristol BS2 0ZX.